

17414 US-PTO  
10/07/03

PTO/SB/05 (06-03)  
Approved for use through 07/31/2003. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	15132US03
	First Inventor	Matthew Levinson
	Title	Articles and Methods for Treating Fabrics Based on Acyloxyalkyl Quaternary Ammonium Compositions
	Express Mail Label No.	EV 329 160 537 US

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450
-----------------------------------------------------------------------------------------------------	------------------------------------------------------------------------------------------------------------------------------

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)  
Applicant claims small entity status.  
See 37 CFR 1.27.
2. ☐ Specification  
(preferred arrangement set forth below)  
-Descriptive title of the invention  
-Cross Reference to Related Applications  
-Statement Regarding Fed sponsored R&D  
-Reference to sequence listing, a table, or a computer program listing appendix  
-Background of the Invention  
-Brief Description of the Drawings (if filed)  
-Detailed Description  
-Claim(s)  
-Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets       ]
5. Oath or Declaration [Total Sheets 1]  
a. ☒ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ Paper  
c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☒ Copy of original Assignment Papers  
10. ☒ 37 CFR 3.73(b) Statement of Attorney  
(when there is an assignee) ☒ Power of Attorney  
11. ☐ English Translation Document (if applicable)  
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations  
13. ☒ Preliminary Amendment  
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)  
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)  
16. ☐ Nonpublication Request under 35 U.S.C. 122(b)  
(2)(B)(i). Applicant must attach form PTO/SB/35 or  
its equivalent.  
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:  
☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 10/238,257

Prior application information: Examiner: Hardes, John R. Art Unit: 1751  
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number:	<b>23446</b>	OR <input type="checkbox"/> Correspondence address below
Name		
Address		
City	State	Zip Code
Country	Telephone	Fax
Name (Print/type)	Registration No. (Attorney/Agent)	36,059
Signature		Date 10/07/03

22264 U.S. PTO  
10/680541

100703

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2004

Patent Fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 770.00

## Complete if Known

Application Number Not Assigned  
Filing Date Herewith  
First Named Inventor Matthew Levinson  
Examiner Name  
Group Art Unit 1751  
Attorney Docket No. 15132US03

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number  
Deposit  
Account  
Name

13-0017

McAndrews Held & Malloy

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:  
☒ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Code (\$)	Code (\$)		
1001 770 2001 385		Utility filing fee	770.00
1002 340 2002 170		Design filing fee	
1003 530 2003 265		Plant filing fee	
1004 770 2004 385		Reissue filing fee	
1005 160 2005 80		Provisional filing fee	

SUBTOTAL (1) (\$) 770.00

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
11 - 20** =	8 x	8 =	8
Independent Claims	8 x	8 =	8
Multiple Dependent			

Large Entity	Small Entity	Fee Description
Code (\$)	Code (\$)	
1202 18 2202 9		Claims in excess of 20
1201 86 2201 43		Independent claims in excess of 3
1203 280 2203 145		Multiple dependent claim, if not paid
1204 86 2204 43		**Reissue independent claims over original patent
1205 18 2205 9		**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 16

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

Large Entity	Small Entity	Fee Description	Fee Paid
Code (\$)	Code (\$)		
1051 130 2051 65		Surcharge - late filing fee or oath	
1052 50 2052 25		Surcharge - late provisional filing fee or cover sheet	
1053 130 1053 130		Non-English specification	
1812 2,520 1812 2,520		For filing a request for <i>ex parte</i> reexamination	
1804 920* 1804 920*		Requesting publication of SIR prior to Examiner action	
1805 1,840* 1805 1,840*		Requesting publication of SIR after Examiner action	
1251 110 2251 55		Extension for reply within first month	
1252 420 2252 210		Extension for reply within second month	
1253 950 2253 475		Extension for reply within third month	
1254 1,480 2254 740		Extension for reply within fourth month	
1255 2,010 2255 1,005		Extension for reply within fifth month	
1401 330 2401 165		Notice of Appeal	
1402 330 2402 165		Filing a brief in support of an appeal	
1403 290 2403 145		Request for oral hearing	
1451 1,510 1451 1510		Petition to institute a public use proceeding	
1452 110 2452 55		Petition to revive - unintentional	
1453 1,330 2453 665		Utility issue fee (or reissue)	
1501 1,330 2501 665		Design issue fee	
1502 480 2502 240		Plant issue fee	
1503 640 2503 320		Petitions to the Commissioner	
1460 130 1460 130		Petitions to the Commissioner	
1807 50 1807 50		Processing fee under 37 CFR 1.17(q)	
1806 180 1806 180		Submission of information Disclosure Sheet	
8021 40 8021 40		Recording each patent assignment per property (times number of properties)	
1809 770 2809 385		Filing a submission after final rejection (37 CFR § 1.129(b))	
1810 770 2810 385		For each additional invention to be examined (37 CFR 1.129(b))	
1801 770 2801 385		Request for Continued Examination (RCE)	
1802 900 1802 900		Request for expedited examination of a design application	
Other fee (specify)			

SUBTOTAL (3) (\$)

\*Reduced by Basic Filing Fee Paid

## SUBMITTED BY

Name (Print/Type)

Thomas J. Wimbiscus

Registration No.  
(Attorney or Agent)

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Telephone

312-775-8000

Signature

*Thomas J. Wimbiscus*

Date

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

ATTORNEY DOCKET  
(15132US03)

2264 U.S. PTO  
10/680541



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of: Matthew Levinson )

Serial No. To Be Assigned )

Filed: Herewith )

For: "Articles and Methods for Treating )  
Fabrics Based on Acyloxyalkyl )  
Quaternary Ammonium )  
Compositions" )

Express Mail No: EV 329 160 537 US

Date of Deposit: October 7, 2003

Group Art Unit: )

Examiner: )

Mail Stop Patent Application (Continuation)  
Commissioner of Patents  
P.O. Box 1450  
Alexandria VA, 22313-1450

**TRANSMITTAL LETTER**

Dear Sir:

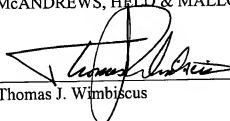
In regard to the above identified application, I am transmitting herewith the attached papers and fee:

1. Utility Application Transmittal (continuation);
2. Fee Transmittal and check in the amount of \$770.00;
3. Application Data Sheet;
4. Copy of Form PTO-1449A considered in parent application;
5. Declaration;
6. Power of Attorney by Assignee of Entire Interest and 37 C.F.R. §3.73  
Certification with copy of original assignment
8. Copy of Parent Application;
9. Preliminary Amendment;
10. Return Receipt Postcard.

Please charge any additional fees or credit any overpayment to the deposit account of  
McAndrews, Held and Malloy, Ltd., Account No. 13-0017.

Respectfully submitted,

McANDREWS, HELD & MALLOY, LTD.

A handwritten signature in black ink, appearing to read "Thomas J. Wimbiscus", is written over a horizontal line.

Thomas J. Wimbiscus

Reg. No. 36,059

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